

## ANALYSIS AND IMPROVEMENT OF THE DOUBLY FED INDUCTION GENERATOR DURING GRID VOLTAGE FAULTS

J. Khazaie S. Badkubi D. Nazarpour M. Farsadi

*Electrical Engineering Department, Urmia University, Urmia, Iran*

*javad\_khazaie@yahoo.com, s.badkubi@yahoo.com, d.nazarpour@urmia.ac.ir, m\_farsadi@yahoo.com*

**Abstract-** The increasing size of wind farms requires power system stability analysis including dynamic models of wind Power generation. Nowadays, the most widely used generator type for units above 1MW is the doubly-fed induction machine. The behavior of these machines during grid failure is an important issue. In this paper, a theoretical analysis of the dynamic behavior of the doubly fed induction generator (DFIG) during a single phase fault is proposed. MATLAB/Simulink is implemented to build the dynamic model of DFIG. Simulation results verify that, rotor voltage and stator flux in phase which fault occurs, decrease exponentially according to the stator time constant. A detailed comparison between single-phase and three-phase voltage dip is also investigated. It is widely proved that, when fault occurs, the rotor voltage is faced with hazardous condition, so a crowbar is utilized in order to limit these adverse voltages.

**Keywords:** Doubly Fed Induction Generator (DFIG), Single Phase Voltage Dip, Wind Turbine.

### I. INTRODUCTION

Renewable energy has been becoming a topic of great interest and investment in the world due to the concerns about emissions from fossil and depletion of fossil fuel resources [1]. Wind energy is one of the best renewable energies which is accessible to provide a sustainable supply to the world development [2]. By the end of 2003, the total installed capacity of the wind turbines has reached as much as 39.234 GW and will exceed 110 GW by the year of 2012 [3, 15]. The doubly fed induction generator (DFIG) has the largest world market share of wind turbine concept since the year 2002 because of its ability to provide variable speed operation and independent active and reactive power control in a cost-effective way [4].

Doubly Fed Induction Generators (DFIG) has become a widely used generator type in wind energy conversion. Compared to a full rated converter system, the use of DFIG in a wind turbine offers many advantages, such as: reduction of inverter cost, the potential to control torque and a slight increase in efficiency of wind energy extraction [5]. Since the stator of the DFIG is directly

connected to the grid, and the capacity of the excitation converter is limited, the converter is quite sensitive to the grid disturbances. Recent wind turbines have to provide the capability of riding through this voltage dips [6].

When severe grid voltage dips occurs, the power generated by the DFIG cannot be transmitted to the grid in time, causing large fault currents in the stator. Because of the magnetic coupling between stator and rotor, the stator fault currents are further transmitted into the rotor causing uncontrollable excessive rotor over-currents, which will damage the power electronic devices of the converter. Also, the electromagnetic torque of the DFIG starts to oscillate with big amplitude, causing great stress concussion to the mechanical system of wind turbine [7]. In these disruptive situations, a crowbar which is a device that connects the rotor windings of a DFIG together is used [13].

This paper provides a study of the dynamics of the grid connected wind turbine with DFIG in a single line voltage fault. It is observed that, the voltage dip causes the strong over voltage in the rotor and if the rotor converter cannot handle this overvoltage, over-currents in the stator and the rotor of the DFIG will be created.

This paper is organized as follows. In section II, the basic model of DFIG is proposed, then the analysis under a single-phase fault on phase B of DFIG is described and at the end, the behavior of the DFIG in 60% voltage dip in phase B is explained. Proposed DFIG is simulated with MATLAB/Simulink in section III. Comparison between stator fluxes and rotor voltages in single-phase and three-phase voltage dip is organized in section IV. Implementation of the crowbar for developing the fault conditions is included in section V. Conclusions is also summarized in section VI.

### II. DYNAMIC ANALYSIS DURING A SINGLE-LINE FAULT

#### A. Model Description

Figure 1 is a basic configuration of a wind turbine based on a DFIG. The stator is directly connected to the grid while the rotor is interfaced through a back-to-back power converter. In contrast to a Singly-Fed Induction Generator, DFIG is independent from the speed.



$$\begin{aligned} \varphi_{sa}(t) &= \frac{V_s}{\omega_s} \sin(\omega_s t - 90) \\ \varphi_{sb}(t) &= \frac{V_s}{\omega_s} \sin(\omega_s t - 210) \\ \varphi_{sc}(t) &= \frac{V_s}{\omega_s} \sin(\omega_s t + 30) \end{aligned} \quad (8)$$

By substituting (8) in (6) the rotor voltages are given by:

$$\begin{aligned} v_{ra}(t) &= \frac{l_m}{l_s} V_s s \sin(\omega_s t - 90) \\ v_{rb}(t) &= \frac{l_m}{l_s} V_s s \sin(\omega_s t - 210) \\ v_{rc}(t) &= \frac{l_m}{l_s} V_s s \sin(\omega_s t + 30) \end{aligned} \quad (9)$$

where,  $s$  is slip of the rotor ( $s = \frac{\omega_s - \omega}{\omega_s}$ ). In (9), it is

observed that, the rotor voltage is directly proportional to slip, and in a constant slip, the rotor voltage is a sinusoidal wave form with amplitude of  $\frac{l_m}{l_s} V_s s$ .

Now it is assumed that, the DFIG is in normal operation until time  $t_0=0$  and then a single phase fault is happened in phase B of the DFIG. The analysis of operation under a fault is given in the next part.

#### D. Analysis under a Single Phase Fault on Phase B

When a single phase fault occurs on phase B, its voltage becomes zero suddenly, but the other phase's voltage are the same in the (7).

$$v_{sb}(t \geq t_0) = 0 \quad (10)$$

For simplicity it is assumed that the rotor is open circuited ( $i_r(t)=0$ ). From (3), (4) and (1):

$$\vec{v}_s = \frac{R_s}{l_s} \vec{\varphi}_s + \frac{d}{dt} \vec{\varphi} \quad (11)$$

with (10) and (11):

$$\varphi_{sb}(t \geq t_0) = \frac{V_s}{\omega_s} \sin(\omega_s t - 210) \exp(-t \frac{R_s}{l_s}) \quad (12)$$

and the two other phase's fluxes are the same as (8). It is observed in (12) that the stator flux in the phase which

fault occurs is decreasing with time constant of  $\frac{R_s}{l_s}$  but

the fluxes of phase A and C are the same sinusoidal before the time  $t_0$ .

By substituting (12) in (6) and neglecting the term  $\frac{R_s}{l_s}$  due to its low value, the rotor voltage in phase B is given by (13) and the other two phases are the same as (9):

$$v_{rb}(t \geq t_0) = \frac{l_m}{l_s} V_s (1-s) \sin(\omega_s t - 120) \quad (13)$$

The Equation (13) shows that when a fault occurs in phase B, the rotor voltage in phase B which was directly proportional to slip ( $s$ ) before fault, now is directly proportional to  $(1-s)$ , and the two other phases are proportional to  $(s)$  after and before the fault.

#### E. Analysis under 60% Voltage Dip on Phase B

When a 60% voltage dip occurs to phase B, its voltage's amplitude is changed from  $V_1=V_s$  to  $V_2=0.4 V_s$ , so:

$$v_{sb}(t > t_0) = 0.4 V_s \quad (14)$$

By substituting (14) to (11) the stator flux is obtained:

$$\varphi_{sb}(t \geq t_0) = \frac{V_2}{\omega_s} \sin(\omega_s t - 210) + \frac{V_1 - V_2}{\omega_s} \exp(-t \frac{R_s}{l_s} - \frac{\pi}{2}) \quad (15)$$

Equation (15) shows that the stator flux in phase B consists of a rotational term that is determined by the grid voltage, and a term with a constant argument due to the natural mode. In this situation, the two other phase's fluxes are the same as they were before  $t_0=0$ . By substituting (15) in (6), the rotor voltage is given by:

$$v_{rb}(t \geq t_0) = \frac{l_m}{l_s} (s V_2 e^{j\omega_s t} - (1-s)(V_1 - V_2) e^{j\omega_s t} e^{-\frac{R_s}{l_s} t}) \quad (16)$$

and the two other phase's rotor voltages are the same as (9). As it is observed in equation (10) to (16), in a full dip fault, the rotor voltage is proportional to  $(1-s)$ , while before the fault it was proportional to  $(s)$ . In a partial voltage dip, the rotor voltage has two terms, the first one is caused by  $V_2$ , is proportional to  $(s)$  and the second one is caused by the natural flux and is proportional to  $(1-s)$  ( $V_1 - V_2$ ). In a three phase fault, equation (16) is applied to all three phases with 120 degree phase shifted wave form.

### III. SIMULATION RESULTS

This proposed DFIG is simulated to demonstrate the features and theoretical analysis. A 3 KW DFIG is simulated using MATLAB/Simulink. The parameters which have been used for simulations are given in Table 1. A single phase fault occurs in time  $t_0=0$  and continues for 0.4 sec. For simplicity the transient time after the fault in 0.4 sec is neglected. For better view, in all of the figures, only phase A and phase B have been shown. Phase C obtains from phase A that is shifted by 120 degree.

Table 1. Simulation parameters

Rated power	3KW
Rated stator voltage	$U_n=380$ v
Rated frequency	50 Hz
Stator resistance	$R_s=1.2$ $\Omega$
Mutual inductance	$l_m=0.127$ H
Stator leakage inductance	$l_{ls}=0.0022$ H
Number of pole pairs	$P_n=2$
Fault time	$T_0=0$

Figure 4 shows the wave forms of the stator fluxes of the DFIG (pu) when a single phase fault occurs in phase B, which obtained from Equations (8) and (12). When a fault is occurred in  $t_0=0$  in phase B, it's flux wave form begins to decrease toward zero with time constant (blue wave form) until removing the fault, but the two other phase's fluxes are sinusoidal because there are no faults on phases A (red wave form) and C. Figure 5 shows the wave forms of the rotor voltages of the DFIG when a single phase fault occurs in phase B.

It is observed that, before time  $t_0=0$ , the rotor voltages are sinusoidal wave forms, but after  $t_0=0$  the rotor voltage in phase B (blue wave form) decreases exponentially

until about 0.35sec when it becomes around zero and the two other phase's voltages are sinusoidal like before. These results obtained from Equations (9) for phase A and C and (13) for phase B. Figure 6 shows the wave forms of the stator flux of the DFIG (pu), when the voltage amplitude of the phase B decreases to  $0.4V_s$  or in other words a 60% voltage dip occurs in phase B.

It is observed in Figure 6 that after 0.35sec and before the elimination of the fault, the stator flux in phase B is a sinusoidal wave form with amplitude of  $\frac{0.4V_s}{\omega_s}$  which is shown with blue waveform and 0.35 sec is the time that stator flux decreases exponentially to reach its final value ( $0.4V_s$ ), because the stator flux in phase B is not able to reach its final value instantly. Figure 7 shows the wave forms of the rotor voltages of the DFIG when a 60% voltage dip occurs in phase B.

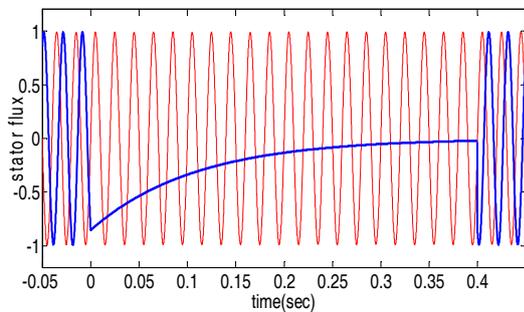


Figure 4. Stator fluxes in single phase fault

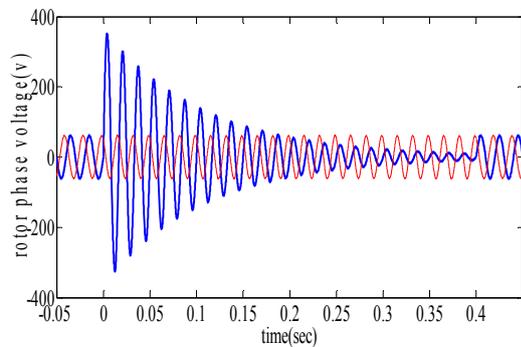


Figure 5. Rotor voltages in a single phase fault

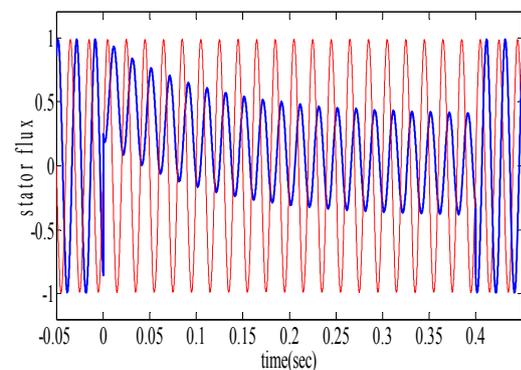


Figure 6. Stator fluxes in single phase 60% voltage dip

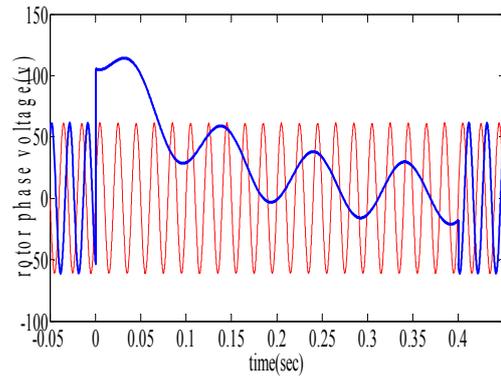


Figure 7. Rotor voltages in a 60% voltage dip in phase B

It is observed in (16) the rotor voltage after fault has two terms. The first one is created by grid voltage after the fault ( $V_2$ ) and its amplitude is small as it is proportional to the slip and its frequency is  $\omega_r = \omega_s - \omega$ . The second voltage is a transient term and its amplitude can be important because it is proportional to the depth of the fault voltage ( $V_1 - V_2$ ). In addition, its frequency is the rotor electrical speed  $\omega$ . In Figure 7, the rotor voltage after 0.3 sec has a sinusoidal wave form with slip frequency  $\omega_r$ . In the next part a comparison between a single-phase and a three-phase fault is investigated.

#### IV. COMPARISON BETWEEN A THREE-PHASE AND A SINGLE-PHASE VOLTAGE DIP

In a three-phase fault we consider a condition in which the stator voltages decrease to  $0.4V_s$  or a 60% voltage dip occurs in all the phases. Just like a single-phase fault, in a three-phase fault when a stator voltage in one phase decreases immediately, its flux cannot decrease instantly. The stator flux decreases exponentially to its final value. We have the same conclusion for the rotor voltages in a three-phase voltage dip, which the rotor voltages in phases A, B and C have two terms, first one has small amplitude and its frequency is  $\omega_r$  but the second one has remarkable amplitude and its frequency is  $\omega$ . Figure 8 shows the wave forms of the rotor voltages in a 60% three-phase voltage dip which are given by Equation (16) and for the other two phases are phase shifted by 120 degree.

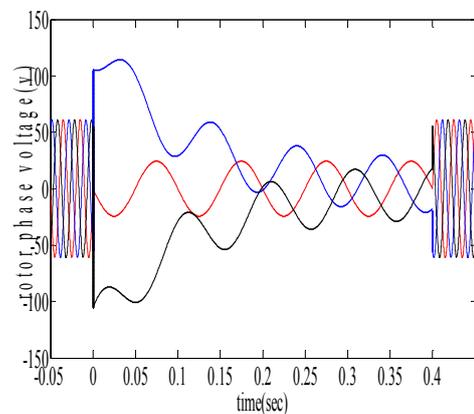


Figure 8. Rotor voltages in a 60% three phase voltage dip

It is observed that in three phase voltage dip, all the phases have two terms and after 0.35 sec all the phases have a sinusoidal wave form with slip frequency of  $\omega_r$ , but in the single-phase fault just one phase have this condition. Figure 9 shows the stator fluxes of DFIG (pu) when a 60% three-phase voltage dip occurs, which are given by Equation (15) that for other two phases is shifted by 120 degree. In this figure only phase B has been shown.

**V. IMPLEMENTATION OF THE CROWBAR**

The duty of the crowbar system is to protect the rotor side converter and rotor windings against over voltages and over currents. It is usually includes three phase rectifier, power resistance and series switches. These series switches can be anti-parallel Thyristor, GTO or IGBT that are used for controlling the crowbar system. Conventionally, the crowbar is applied for an extended duration to fully demagnetize the rotor [12]. A crowbar activation period typically lasts about 120 ms. but, this can lead to a 100 ms post fault period of at least 50% reactive power absorption and relevant voltage dip. A new design of crowbar has been presented in [13]. Figure 10 shows the crowbar circuit which consists of a three-phase rectifier, power resistor, and series IGBT switch. The quick turn-off ability of an IGBT has led to decrease the activation period of a crowbar to 10-20 ms [13].

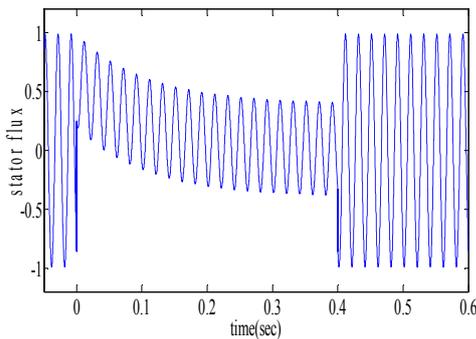


Figure 9. The stator fluxes in a 60% three-phase voltage dip

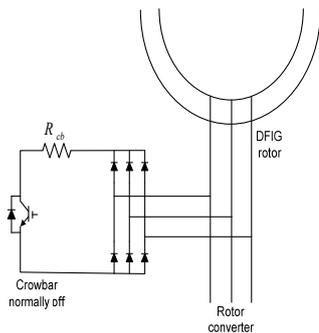


Figure 10. The crowbar circuit

Because the rotor voltage in Figure 5 after the fault has pick value near 400V, it will lead to over-currents in the rotor and the stator, so the crowbar will be activated and the rotor voltages will become zero after the activation time of the crowbar (10 ms). Figure 11 shows the crowbar operation in single-phase voltage fault on the

stator of the DFIG. In Figure 11, only phase A (red wave form) and phase B (blue wave form) have shown.

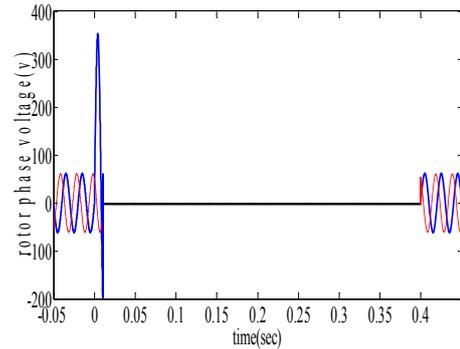


Figure 11. The crowbar operation after fault in single-phase voltage fault on the stator

It is observed from simulations that, because of the voltage dip, when a voltage in the rotor of the DFIG begins to rise up quickly, the crowbar acts and the rotor voltages become zero until the fault is cleared.

**VI. CONCLUSIONS**

This paper presents an analysis of the DFIG during a single-phase voltage dips. For this, dynamic modeling of the DFIG is proposed. When voltage dips occur, there is a disturbance in power transmission between DFIG and grid, which will cause large fault currents in the stator. Because of the magnetic coupling between the stator and rotor, the stator fault currents are transmitted into the rotor, and this condition causes uncontrollable rotor over currents. So it will damage the converter of the DFIG and create oscillations of the electromagnetic torque of the DFIG with big amplitude.

A crowbar which connects the rotor windings of a DFIG together, results zero voltage of the rotor phases and decreases the probability of converter damage. Also, the rotor and the stator over-currents are reduced. Hence, studying the behavior of the DFIG in fault condition is the best way to solve these problems.

**NOMENCLATURES**

- $l_m$ : Magnetizing inductance
- $l_{ls}, l_{lr}$ : Stator and rotor leakage inductances
- $l_s, l_r$ : Stator and rotor self-inductances
- $R_s, R_r$ : Stator and rotor resistances
- $\vec{v}_s, \vec{v}_r$ : Stator and rotor voltage space vectors
- $\vec{\phi}_s, \vec{\phi}_r$ : Stator and rotor voltage space vectors
- $\omega_s, \omega_r, \omega$ : Synchronous, slip and rotor angular frequencies

**REFERENCES**

[1] H. Yikang, H. Jiabing, Z.Rende, "Modeling and Control of Wind-Turbine Used DFIG under Network Fault Conditions", Electrical Machines and Systems, ICEMS 2005, Vol. 2, pp. 986-991, 2005.  
 [2] M. Yin, G. Li, M. Zhou, G. Liu, Ch. Zhao, "Study on the Control of DFIG and its Responses to Grid Disturbances", IEEE Power Engineering Society General Meeting, 2006.

- [3] "Wind Power Observatory", March 2009, [www.aeeolica.es](http://www.aeeolica.es)
- [4] "The Spanish Electrical System Report for 2008", REE, Madrid, Spain, [www.ree.es](http://www.ree.es)
- [5] L. Xu, "Enhanced Control and Operation of DFIG-Based Wind Farms during Network Unbalance", *IEEE Transactions on Energy Conversion*, Vol. 23, No. 4, pp. 1073-1081, Dec. 2008.
- [6] Z. Xing, Z. Longyun, Y. Shuying, Y. Yong, C. Renxian, "Low Voltage Ride-through Technologies in Wind Turbine Generation", *Proceeding of the CSU-EPSA*, Vol. 20, No. 2, pp. 1-8, 2008.
- [7] Z. Peng, H. Yikang, "Control Strategy of an Active Crowbar for DFIG Based Wind turbine under Grid Voltage Dips", *Electrical Machines and Systems, ICEMS 2007*, pp. 259-264, 2007.
- [8] F.K.A. Lima, A. Luna, P. Rodriguez, E.H. Watanabe, F. Blaabjerg, "Rotor Voltage Dynamics in the Doubly Fed Induction Generator during Grid Faults", *IEEE Transactions Power Electronics*, Vol. 25, No. 1, pp. 118-130, January 2010.
- [9] J. Lopez, P. Sanchis, X. Roboam, L. Marroyo, "Dynamic Behavior of the Doubly Fed Induction Generator during Three-Phase Voltage Dips", *IEEE Transactions on Energy Conversion*, Vol. 22, pp. 709-717, 2007.
- [10] D.L. Zhao, J.D. Guo, H.H. Xu, "The Study and Realization on the Recouping Control of Active and Reactive Power of a Variable-Speed Constant-Frequency Doubly-Fed Induction Generator [J]", *ActaEnergiæ Solaris Sinica*, Vol. 27, No. 2, pp. 174-179, 2006 (in Chinese).
- [11] K.Sh. Zhang, P. Su, L.H. Ning, X.W. Zhang, "Dynamic Response of Wind Turbine with Doubly-Fed Induction Generator during Grid Voltage Dip", *Power and Energy Engineering Conference (APPEEC)*, pp. 1-5, 2010.
- [12] A. Hansen, G. Michalke, "Fault Ride-through Capability of DFIG Wind Turbines", *Renewable Energy*, Vol. 32, pp. 1594-1610, 2007.
- [13] G. Pannell, D.J. Atkinson, B.Zahawi, "Minimum-Threshold Crowbar for a Fault-Ride-Through Grid-Code-Compliant DFIG Wind Turbine", *IEEE Transactions on Energy Conversion*, Vol. 25, pp. 750-759, 2010.
- [14] M. Alivirdizadeh, B. Tousi, H. Ghahramani, J. Khazaie, S. Rajebi, "A Novel Damping Controller For Inter-Area Oscillation by Means of DFIG-Based Wind Farm", *International Journal on Technical and Physical Problems of Engineering (IJTPE)*, Issue 8, Vol. 3, No. 3, pp. 118-124, September 2011.
- [15] A. Nayir, E. Rosolowski, L. Jedut. "New Trends in Wind Energy Modeling and Wind Turbine Control", *International Journal on Technical and Physical Problems of Engineering (IJTPE)*, Issue 4, Vol. 2, No. 3, pp. 51-59, September 2010.

## BIOGRAPHIES



**Javad Khazaie** was born in Ghaemshahr, Iran, 1987. He received the B.Sc. degree from University of Nooshirvani (Babol, Iran). Currently, he is studying his M.Sc. on Electrical Engineering in Urmia University (Urmia, Iran). His research interests are in the area of flexible AC transmission systems (FACTS), power quality, and active power filters. He is a member of the International Electrical and Electronic Engineers.



**Salman Badkubi** was born in Isfahan, Iran, 1984. He received the B.Sc. degree from Isfahan University of Technology (Isfahan, Iran). Currently, he is studying his M.Sc. on Electrical Engineering in Urmia University (Urmia, Iran). His research interests are in the area of flexible AC transmission systems (FACTS), power quality, and active power filters. He is a member of the International Electrical and Electronic Engineers.



**Daryoosh Nazarpour** received the B.Sc. degree from Iran University of Science and Technology (Tehran, Iran) in 1982, and M.Sc. and Ph.D. degrees in Electric Power Engineering from University of Tabriz (Tabriz, Iran) in 1988 and 2005, respectively. He is now an Assistant Professor in Urmia University (Urmia, Iran). His research interests are primarily in advanced power electronic and flexible AC transmission system (FACTS).



**Mortaza Farsadi** was born in Khoy, Iran, 1957. He received his Ph.D. in Electrical Engineering (High-Voltage) from Department of Electrical and Electronics Engineering, Middle East Technical University (Ankara, Turkey) and Istanbul Technical University (Istanbul, Turkey) in 1989. He is now an Assistant Professor in the Department of Electrical Engineering, Urmia University (Urmia, Iran). His main research interests are in high voltage engineering, industrial power system studies and flexible AC transmission systems (FACTS).