

## BOILER EFFICIENCY OPTIMIZATION USING ARTIFICIAL INTELLIGENCE AND RSM RESPONSE SURFACE METHOD

Y. El Kihel<sup>1</sup>   A. El Kihel<sup>2</sup>   A. Bakdid<sup>3</sup>   H. Gziri<sup>2</sup>

1. Laboratory of Industrial Technologies and Services, EST, University of Sidi Mohamed Ben Abdellah, Fez, Morocco  
elkihel.yosra@gmail.com
2. Laboratory of Engineering, Industrial Management and Innovation, Faculty of Science and Technology of Settat, University of Hassan I Casablanca, Morocco, alielkihel@yahoo.fr, hgziri@gmail.com
3. Laboratory of Industrial Engineering and Seismic Engineering, National School of Applied Sciences ENSA-Oujda, Mohammed I University, Oujda, Morocco, a.bakdid@ump.ac.ma

**Abstract-** In the agroindustry, Boilers are widely used to heat water or produce steam, usually through the energy released by the combustion of a fuel, to power the facilities by steam (Figure 1). One of the main objectives to reduce energy consumption is the optimization and improvement of energy efficiency. The use of artificial intelligence allows today to improve the management of energy by optimizing the flows that is why companies want to integrate artificial intelligence to reap its benefits by decreasing their financial charges in several areas especially in energy. In this context, we suggest an approach to find the optimum between combustion temperature and fuel flow to find the maximum efficiency of the boiler. This result is obtained by making experimental measurements (temperature, steam flow and efficiency). To find this optimum point (temperature, flow and efficiency) we will show that the k-NN method is accurate and time efficient compared to the neural network method. This result is fundamental to optimize the energy consumption of this thermal system.

**Keywords:** Boiler, Energy, Artificial Intelligence, k-NN, RSM.

### 1. INTRODUCTION

A Boilers are pressurized devices widely used by the agroindustry to heat water or produce steam to supply the production processes. Generally, through the energy released by the combustion of a fuel, to power the installations with steam [1, 2].

One of the main objectives is to optimize and improve energy efficiency. [3, 4, 5]. In the literature [6, 7, 8], the indirect method is widely used to calculate the efficiency of a boiler, as it is more efficient and precise. The efficiency is defined as the ratio between the useful energy produced and the total energy input. It is expressed by the following relationship:

$$R = \text{Useful energy} / \text{Input energy} \quad (1)$$

We have performed experimental measurements on a thermal system. These measurements took place in a company operating in the beverage industry Figure 1.

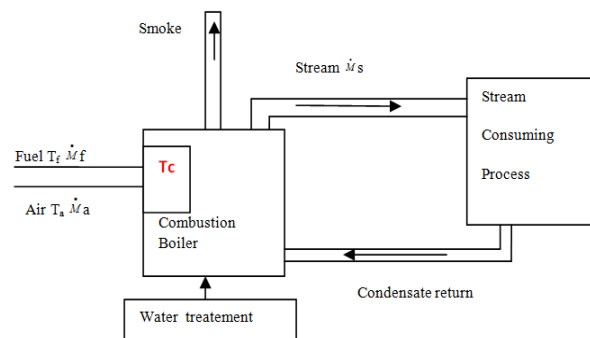


Figure 1. Industrial process

The studied boiler is a multi-tube smoke tube boiler with a capacity of 4 T/h working with fuel oil 2 as fuel (HCV: 9238, 55 Kcal/kg), with:

$T_f$ : fuel temperature

$\dot{M}_f$ : fuel flow

$T_a$ : air temperature

$\dot{M}_a$ : air flow

The steam produced is used in the manufacturing process and is measured by a flow meter where  $\dot{M}_s$  is the flow rate of the steam. The temperature  $T_c$  of combustion is measured by a thermocouple.

We present in Figure 2 the temperature  $T$  ( $^{\circ}\text{C}$ ), steam mass flow rate  $\dot{M}$  (kg/s) and efficiency  $R$  data for this measurement campaign.

### 2. OPTIMIZATION METHOD

As a first work, we used Neural Networks which are much more complex models than any other Machine Learning models in the sense that they represent mathematical functions with millions of coefficients (the parameters) [9, 10].

Table 1. The experimental data

$\dot{M}$	$T(^{\circ}C)$	$R$	$\dot{M}$	$T(^{\circ}C)$	$R$
7.28	169.67	74.91	11.82	220.22	66.59
10.72	209.56	73.42	9.12	212.73	66.83
11.73	214.13	76.09	13.47	247.14	69.76
12.64	181.23	73.14	17.42	224.57	66.69
9.49	180.26	73.85	12.30	240.20	66.00
8.32	163.24	76.74	9.32	216.73	67.16
16.19	177.74	74.84	10.30	219.85	65.34
11.92	207.94	73.94	9.86	239.13	67.55
10.78	203.95	76.97	16.41	214.82	68.95
16.35	180.76	72.91	13.35	198.03	67.41
8.45	197.02	75.43	15.10	219.54	68.87
12.34	188.38	75.71	15.40	209.55	68.89
12.79	170.76	73.80	14.16	200.36	67.45
9.97	167.12	75.59	14.72	222.26	68.30
11.47	222.89	76.08	17.14	213.99	67.57
13.89	211.63	76.44	15.04	221.47	69.13
16.12	214.93	76.66	17.21	204.59	69.30
13.41	212.12	73.28	13.15	190.30	66.88
8.52	205.87	75.50	9.85	195.50	64.83
10.54	217.97	74.65	8.73	216.75	69.12
11.64	234.61	76.33	14.80	168.71	67.56
16.38	178.80	75.00	10.85	176.30	69.67
13.79	203.58	74.07	14.61	193.17	69.54
11.25	191.99	76.37	14.20	197.12	68.72
14.02	191.10	75.53	12.98	171.04	68.84
12.88	177.29	76.67	14.81	203.15	67.03
16.95	178.24	76.09	12.76	215.56	68.79
17.30	200.91	76.44	12.80	173.78	69.39
16.17	182.30	76.29	12.76	191.36	69.87
14.72	197.87	74.48	16.95	184.08	66.56
15.05	221.75	75.39	11.72	221.79	66.53
16.57	209.63	72.43	10.26	201.42	67.61
8.46	207.36	72.57	11.25	183.29	68.90
11.09	222.36	71.70	14.46	176.09	69.70
7.87	212.51	76.77	16.47	198.18	68.46
15.88	202.93	73.50	18.07	213.68	66.39
12.59	221.75	67.63	10.79	213.46	68.19
11.21	174.17	67.26	15.77	213.56	69.35
9.86	185.12	67.04	13.66	179.83	68.49
12.85	225.65	67.08	11.31	198.08	67.83
10.47	214.80	66.53	11.72	245.65	68.53
9.32	197.95	65.85	12.32	205.27	67.67
8.48	209.51	66.65	14.46	244.42	67.86
7.32	195.37	68.72	14.86	246.98	67.78
11.92	193.40	66.89	10.49	210.46	66.12
9.13	185.30	69.15	9.85	202.91	69.45
12.24	192.74	68.90	15.66	224.19	74.78
16.83	174.63	68.16	11.52	205.45	73.88
12.71	208.57	74.01	15.69	210.98	72.66
13.69	227.85	72.02	7.54	210.19	74.96
16.54	203.54	73.96	16.81	193.31	73.60
10.23	186.75	74.62	15.69	179.02	71.32
8.98	208.26	75.15	12.38	184.22	73.30
10.45	198.27	71.51	11.25	205.47	75.71
11.29	189.08	71.47	11.28	187.8	70.60
14.63	202.71	74.09			

The application of this method by exploiting the data in figure 2 gives accurate results, but the problem resides in finding the right structure of the network, which requires a lot of time to determine hidden layers number as well as the neurons number that must be integrated in each layer, as well as the choice of activation function is quite important to obtain an accurate prediction.

To remedy this problem, an algorithm of k-Nearest Neighbors (k-NN) [11] a machine learning algorithm that belongs to the class of simple and easy to implement

supervised learning algorithms that can be used to solve classification and regression problems [12]. Secondly, the RMS statistical method will be used to optimize the response (boiler efficiency) [13].

The steam flow  $M_f$  and the outlet temperature  $T_c$  were considered as independent variables (inputs). The dependent variable is chosen as the efficiency of the boiler (output) noted  $R$ .

For computation, we used Python [14] as a programming language that can be used in many contexts and can be adapted to any type of use thanks to specialized libraries. The Python language has a large number of libraries: for data analysis, scientific computing, visualization and machine learning. We also used Minitab, a data analysis and statistical software that allows predicting, visualizing and analyzing data.

In this case we have a training database made up of  $N$  "input-output" pairs. The estimate of the output associated with a new input  $x$ , the approach of the  $k$  nearest neighbors must be taken into account (in an identical way) the  $k$  training samples whose entry is closest to the new one input  $x$  [15], according to a distance to be defined. Since this algorithm is based on distance, normalization can improve its accuracy [16].

We can say for this k-NN classification, the result obtained is a membership class. Likewise, an input object can be classified according to the obtained majority result of the membership class statistics of its  $k$  nearest neighbors [17].

As shown in Figure 3, our proposed approach is to divide the input and output data into three categories: learning, validating, and testing. For the creation of a model we will use the training data. Validation data is used to verify the quality and accuracy of the training step. Test data is not used in the training phase and is only used to evaluate performance and review the modeling [18].

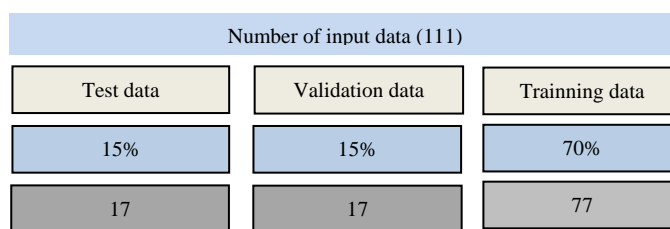


Figure 2. Data repartition

### 3. RESULTS OBTAINED AND INTERPRETATIONS

#### 3.1. Results Obtained

For this part, the results that we obtained by this calculation we compared them with the real results. The total number of data used in the modelling is 111.

Among these, for training we used 70% of those intended for training, validation 15% and the rest for testing the network.

The graphical error of the calculation results is shown in Figure 2. This graphical error is the difference between the actual output and the predicted output.

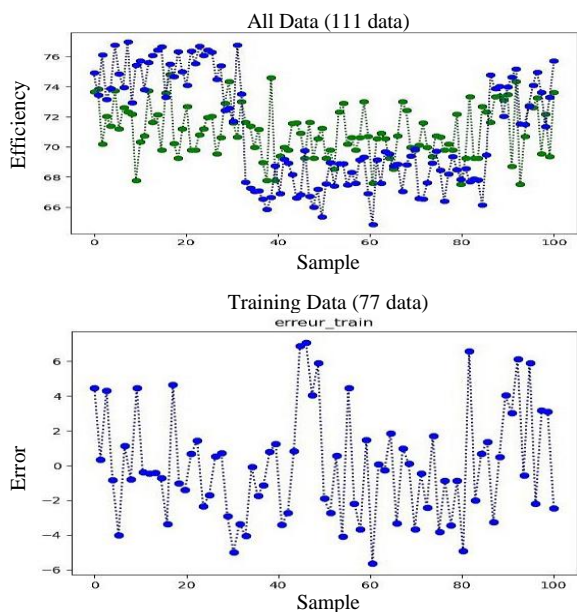


Figure 3. Comparison of experimental and modeling data for the training stage (77 data)

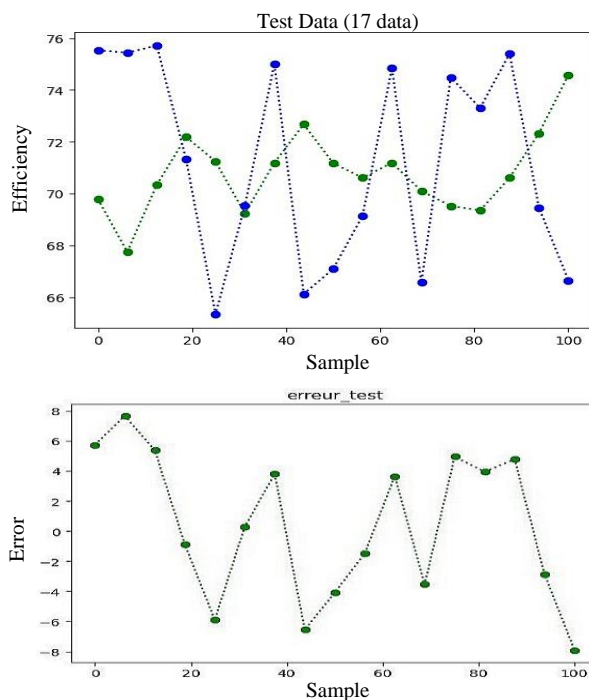


Figure 5. Comparison of experimental data and modeling: test step (17 data)

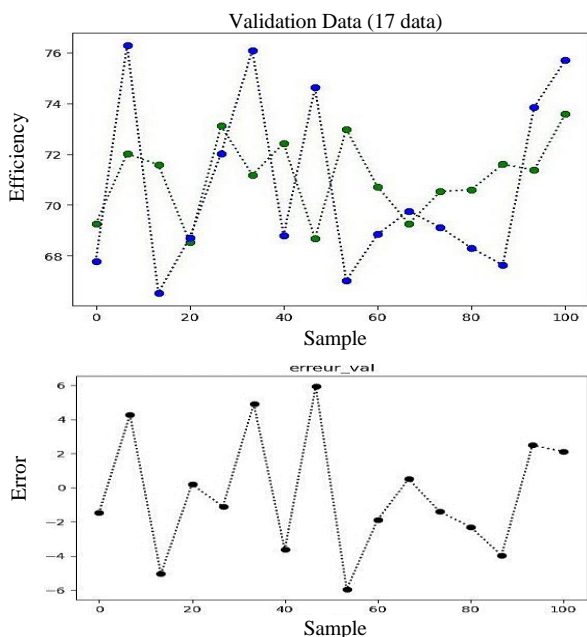


Figure 4. Experimental data and modeling comparison: validation step (17 data)

### 3.2. Interpretation

For the three categories of training, validation and testing the error in the modelling data is presented in Figures 3 to 5 respectively. Based on these results, the error data for the learning, validation and test phases are obtained by 6, 5 and 6, respectively.

In training Test data is not involved. As a result, the error of test data is larger than that of validation and training.

As a conclusion, we have obtained efficient modeling results (efficiency prediction) with a low error margin. Then, using the RSM method, we will optimize the output response according to the inputs (flow and temperature) to be efficient.

In statistics, the response surfaces method (RSM) consists in establishing the relationships between the dependent and independent variables involved in an experiment. For this method the main idea is the use of a series of experiments. Box and Wilson propose the use of a second-degree polynomial model, admitting that this model is only an approximation. This developed model has the advantage of being easy to apply and estimate, even if little information is available on the processes.

Response surface design or RSM is defined as the set of applied mathematical techniques and statistics that allow us to create empirical models. The purpose of the response surface is to optimize the response (output variable) influenced by several independent variables (input variables). In our case, the flow rate and the outlet temperature of the boiler, and the efficiency of the dependent variable, are the two independent variables discussed in our study.

This RMS method can be represented by a second degree polynomial ( $\dot{M}_s$  denotes the flow rate and  $T$  the temperature):

$$R(\dot{M}_s) = k_0 + k_1\dot{M}_s + k_2T + k_{12}\dot{M}_sT + k_{11}\dot{M}_s^2 + k_{22}T^2 \quad (2)$$

In Figure 6, the RSM optimization for equation (2) are presented.

This RMS method allows us to determine the optimal values of the constants,  $k_0$ ,  $k_1$ ,  $k_2$ ,  $k_{11}$ ,  $k_{22}$  and  $k_{12}$ , which are obtained by the RSM optimization. In this case expression 3 becomes a regression equation in uncoded units:

$$R = 74.2 - 1.22D + 0.081T + 0.0325D \times D - 0.000349T \times T + 0.00193D \times T \quad (3)$$

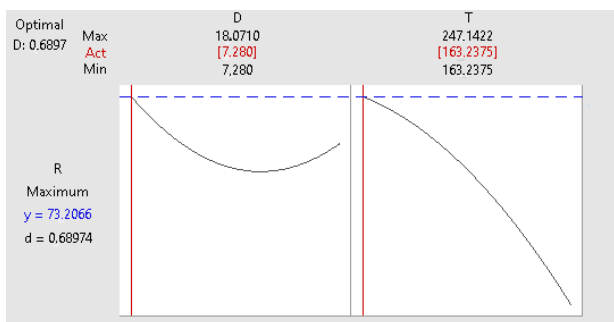


Figure 6. Response surface method (RSM) (inputs: flow and temperature)

Table 2. Optimal values of the constants calculated by the RSM method

Constant	$k_0$	$k_1$	$k_2$	$k_{11}$	$k_{22}$	$k_{12}$
Optimal value	74.2	1.22	0.081	0.0325	- 0.000349	0.00193

The response surface obtained by the RMS method and the experimental data are presented in a 3D graph in Figure 7. Note that  $R$  is the efficiency,  $\dot{M}_s$  the mass flow and  $T$  the temperature.

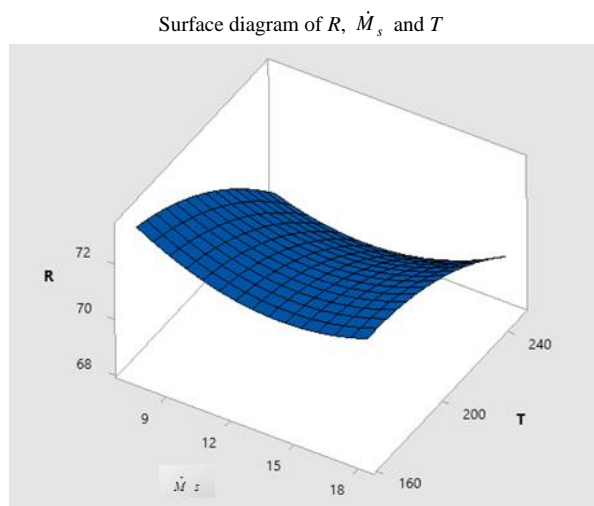


Figure 7. 3D presentation of the response surface and experimental data: Efficiency, temperature and flow rate

Table 3. The optimal efficiency of the boiler (obtained from the rsm)

Variable	Efficiency of the boiler (%)	Mass flow rate (kg/s)	Temperature (°C)
The optimum according to RSM	73.20	7.28	163.2375

We obtained the optimum flow rate is 7.28 kg/s and for the temperature is 163.23 °C.

#### 4. CONCLUSIONS

In this study, we used, in the modeling process, a total number of data of 111, which has been divided into 15% for validation and 70% for training and the rest is reserved for testing. Modeling using the K-NN method produces a prediction error of approximately 5. The error associated with the learning step, the validation step and the test step was less than 6%, 5% and 6%, respectively. By definition, the response surface method (RSM) is a

series of statistical and mathematical techniques that can be applied with the aim of building classical models. The objective of RSM is therefore the optimization of the response (output variable), which is influenced by several independent variables (inputs). Thereby, as results of our study, we obtained the optimum for the flow is 7.28 kg/s and for temperature is 163.23 °C, for an efficiency of 73.20%.

#### REFERENCES

[1] A. Meksoub, B. Elkihel, M. Boulterhcha, "Study of the Energy Performance of Steam Generators", The 3rd International Conference on Mechanical Materials Structures (MSM'19), p. 13, Morocco, 2019.

[2] A. Meksoub, A. El Kihel, H. Gziri, A. Berrehili, "Heat loss in industry: analysis of boiler performance", The 2nd International Conference on Electronic Engineering and Renewable Energy (ICEERE'20), Saidaia Morocco, vol. 681, p. 64, 2020.

[3] S. Echi, A. Bouabidi, Z. Driss, M.S. Abid, "CFD simulation and optimization of industrial boiler", Energy, vol. 169, pp. 105-114, 2019.

[4] M. Szega, T. Czyz, "Problems of calculation the energy efficiency of a dual-fuel steam boiler fired with industrial waste gases", Energy, vol. 178, pp. 134-144, 2019.

[5] E.E. Novruzova, "Newest innovative technologies in electric power industry", International Journal on Technical and Physical Problems of Engineering (IJTPE), Iss. 42, Vol. 12, No. 1, pp. 6-9, Mar. 2020.

[6] H. Hajebzadeh, A.N.M. Ansari, S. Niazi, "Mathematical modeling and validation of a 320 MW tangentially fired boiler: A case study", Appl. Therm. Eng., vol. 146, pp. 232-242, 2019.

[7] G. Sankar, D.S. Kumar, K.R. Balasubramanian, "Computational modeling of pulverized coal fired boilers", A Review on Current Position, vol. 236, pp. 643-665, 2019.

[8] M. Trojan, "Modeling of a steam boiler operation using the boiler nonlinear mathematical model", Energy, vol. 175, pp. 1194-1208, 2019.

[9] H. Maddah, M. Sadeghzadeh, M. Hossein Ahmadi, R. Kumar, S. Shamshirband, "Modeling and Efficiency Optimization of Steam Boilers by Employing Neural Networks and Response-Surface Method (RSM)", Mathematics, Vol. 7, Issue 7, p. 629, 2019.

[10] M. Zile, "Improved control of transformer centers using Artificial neural networks", International Journal on Technical and Physical Problems of Engineering" (IJTPE), Iss. 40, Vol. 11, No. 3, pp. 28-33, September 2019.

[11] K. Hechenbichler, K. Schliep, "Weighted k-nearest-neighbor techniques and ordinal classification", Collaborative Research Center, Vol. 386, Paper 399, 2004.

[12] S. Madeh Pirayonesi, T.E. El-Diraby, "Role of Data Analytics in Infrastructure Asset Management: Overcoming Data Size and Quality Problems", Journal of Transportation Engineering, Part B: Pavements, vol. 146, no. 2, p. 0402002, June 2020.

- [13] P.A. Jaskowiak, R.J.G.B. Campello, "Comparing Correlation Coefficients as Dissimilarity Measures for Cancer Classification in Gene Expression Data", Brazilian Symposium on Bioinformatics (BSB 2011), pp. 1-8, 2011.
- [14] J. Guillod, "Python programming by doing problems and exercises corrected", Sciences Sup, Dunod, June 2021.
- [15] T. Hastie, J.H. Friedman, "The elements of statistical learning: data mining, inference, and prediction: with 200 full-color illustrations", Springer, 2001.
- [16] D. Coomans, D.L. Massart, "Alternative k-nearest neighbour rules in supervised pattern recognition: Part 1 - k-Nearest neighbour classification by using alternative voting rules", *Analytica Chimica Acta*, vol. 136, pp. 15-27, 1982.
- [17] E. Mathieu Dupas, "Algorithm of the k nearest weighted neighbors and application in diagnosis", The 42nd Statistics Days, Marseille, France, 2010.
- [18] A. Velt, S. Chazallet, "Python 3 - Data analysis for Data Science", Ref. ENI, 2021.

### BIOGRAPHIES



**Yousra El Kihel** got her Ph.D. in Production from IMS-University of Bordeaux, France in 2021. Currently, she is a teacher in logistics at the IUT of Bordeaux, France. She received her Engineer degree in Industrial Engineering option logistic from ENSA Morocco in 2017. Her research domain includes supply chain management, production and logistics.



**Ali El Kihel** graduated as a State Engineer in industrial engineering from the National School of Applied Sciences of Oujda, Morocco. He is currently a Doctoral student at Faculty of Science and Technology, Hassan I University, Settat, Morocco. He works as a planning supervisor in a multinational company operating in the logistics field. His research interests focus on the new technologies of industry 4.0 and as a field of application the automotive industry.



**Amar Bakdid** is a researcher at University of Mohamed Premier Oujda, Morocco. He received the Bachelor degree of Science in Experimental Sciences and the Master in Physics, specializing in Optics and Materials at Faculty of Sciences, University of Mohamed Premier Oujda, Morocco. He holds a Doctorate in Physics, specializing in Industrial Engineering, supported at the same university in 2019. His research interests focus on new maintenance technologies for Industry 4.0. In addition to research, he acts as a reviewer for the international journal of materials today: proceedings indexed in Scopus.



**Hassan Gziri** is a Professor of higher education at Faculty of Sciences and Techniques of Settat, Morocco. He is also a member of Engineering, Industrial Management and Innovation Research laboratory, and he has supervised a number of students in supply chain management, industrial engineering and quality management. He has published numerous articles during his years of research and he has more experience in academic and industrial fields.